Fact Sheet: September 25, 2012

Division of Developmental Disabilities

ASSESSMENT PROCESSES

SETTINGS	Intermediate Care Facility	Nursing Facility	Supported Living	Adult Family Homes	MPC – Own home
Legal Authority	Federal Social Security Administration - CFR 483.440 [c] (3) through 483.440 [c] (3) (v.)	Federal Sec. 1919. of the Social Security Act, CFR 42 U.S.C. 1396r	RCW 71A.12	RCW 70.128	RCW 74.08 RCW 74.09
Regulations	Intermediate Care Facilities for Individuals with Intellectual Disabilities ICF/ID	Nursing Facilities (NF) WAC 388-97 Federal Nursing Home Regulations	WAC 388-828	WAC 388-76	WAC 388-106
Developed by	Centers for Medicare and Medicaid	Centers for Medicare and Medicaid	State of Washington Approved by federal Centers for Medicare and Medicaid *With Authorization of American Association of Intellectual and Developmental Disabilities for use of Supports Intensity Scale*		
Purpose	Comprehensive functional assessment for individuals being admitted to and receiving services from an ICF/ID	Comprehensive Standardized Assessment (Resident Assessment Instrument-RAI) of each resident's functional capacity. To provide facility with on going assessment information necessary to develop a care plan to provide appropriate care and services. RAI information corresponds to the Minimum Data Set (MDS).	The DDD Assessment is a standardized assessment tool that measures the unique support needs of people with developmental disabilities for a variety of activities in various settings. The standardization processes incorporated into the assessment will: • Promote consistency • Identify level of service and/or hours • Record service requests		
Administer-ed by	An interdisciplinary team led by a Qualified DD professional (QDDP) • Physician • Registered Nurse • Psychologist • Occupational, Physical, Speech Therapists • Nutritionist • Recreational Specialists • Social Work or other qualified	An interdisciplinary team led by a Registered Nurse (RN)-Patient Care Coordinator (PCC). • Physician • Registered Nurse • Psychologist • Rehabilitation Therapy (OT/PT/SP) • Dietician • Recreational Specialists/Activity Professionals • Social Worker	Assessment administered by DDD personnel - Case/Resource Managers, Supervisors or other certified assessors, also known as evaluators;		

	human service professional • Direct support Professionals • Other specialty service providers per identified needs	• Direct support Professionals-Certified Nursing Assistants • Other specialty service providers per identified needs	
Qualifica-tions of Evaluators	Various degrees, clinical certifications and/or licenses per professional area and as defined by regulations	Various degrees, clinical certifications and/or licenses per professional area; Some professional qualifications may be defined by regulations	DDD Case/Resource Manager or Supervisors meet hiring criteria for position, complete required CARE assessment training; meet inter-rater reliability measurements and fidelity checks for testing competency level; completion of annual re-certification to administer CARE Assessment is required
Validated Assessments	None	Minimum Data Set (MDS) is a data collection tool validated by CMS for screening, clinical and functional status elements, common definitions and medical coding for assessment, payment and inter-facility communication.	• Supports Intensity Scale (SIS) • Body Mass Index (BMI) • Mini-Mental Status Exam (MMSE) • Center for Epidemiologic Studies Depression Scale (CES-D) • Cognitive Performance Scale (CPS) • Patient Health Questionnaire Depression Scale (PHQ-9) • CAGE Questionnaire
Frequency completed	• Within 30 days of admission • Annually for health - medical, nursing, dental • Regular for other areas: "regular" is defined in WA state as no more than every 3 years as long as an annual update/review of each assessment is done • When significant change occurs for the person • Updated at time of transfer or discharge	• Within 14 days after admission • Reassessed following significant change • Annually for medical professionals • Quarterly reviews/updates using quarterly review assessment instrument not less frequent than once every three months with respect to those MDS items specified under state requirement. • Regular for other areas: "regular" is defined as no more than every 3 years as long as an annual update/review of each assessment is done. • Updated at time of transfer or discharge	• With 90 days of enrollment for services • Reassessed annually • Updated at times of significant change. Note: Clinical, professional and specialty assessments may be requested for planning purposes at any time
Quality Assurance of Assessment Contents	RHC local QA procedures	PCC /MDS RN must certify assessment is completed and accurate. Every professional who participated also certifies the accuracy of that portion.	Sampling of CARES assessments are completed by DDD Office of Quality Control, Coordination and Compliance. Audits occur annually; Data is summarized and analyzed for needed corrective actions.

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| Oversight of Assessment process | State surveyors act as agents of CMS Assessments are audited against the ICF federal regulation | State surveyors act as agents of CMS

MDS and any other assessments are audited against the nursing facility rules | Assessors must successfully complete standardized evaluator training program through the DDD Academy. Assessors receive an inter-rater reliability evaluation each year to monitor and certify them for accurate administration of the Supports Intensity Scale. |
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| Subject Matter and Contents | Considerations included in assessments: Age of client Problems, disabilities, causes Developmental strengths Developmental and behavioral needs Physical development and health Nutritional status Sensory-motor development Affective developmental Speech and language development Auditory functioning Cognitive development Social developmental Adaptive behavior and independent living skills Work skills | Considerations included in assessments: Customary routine Cognitive patterns/development Communication Vision and Auditory functioning Mood and Behavior patterns Psychosocial well being Physical functioning and structure Continence Disease diagnosis and health conditions Skin, Dental and Nutrition status Social development and Activity pursuit Medications Special treatments Discharge potential Adaptive behavior and independent living skills Problems, disabilities, causes Developmental and behavioral needs Developmental strengths | The Supports Intensity Scale assesses abilities in these areas: Home living Health and Safety Exceptional medical support needs Lifelong learning Social development Exceptional behavior supports Employment Protection and Advocacy |

FOR MORE INFORMATION, CONTACT:
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